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PATENT

Attorney Docket No. AMBER-06819

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kenneth J. Rothschild *et al.*

Serial No.: 09/973,145

Group No.: 1631

Filed: 10/09/2001

Examiner:

Entitled: N-Terminal and C-Terminal Markers In Nascent Proteins

AMENDMENT TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: March 14, 2002

By:

Traci E. Light

Sir or Madam:

Transmitted herewith is an amendment for this application. The fee has been calculated as shown below.

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDITIONAL FEE
Total Claims	21	—	48	0	×	18.00	\$0.00
Independent Claims	2	—	3	0	×	84.00	\$0.00

Two Month Extension of Time \$400.00

Small Entity 50% Filing Fee Reduction - \$200.00

Surcharge \$65.00

Recordation \$40.00

TOTAL DUE \$305.00

1. A check in the amount of \$305.00 is attached.
2. Please charge any additional fees, including any fees necessary for extensions of time, or credit overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.
3. Petition for extension of time. The undersigned attorney of record hereby petitions for an extension of time pursuant to 37 C.F.R. § 1.136, as may be required, to file this response.
4. In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed November 14, 2001 (a copy of which is enclosed), applicants submit the following documents:
 - ▶ Executed declaration or oath
 - ▶ an Assignment document for recording whereby the subject application and the invention disclosed therein is assigned to AmberGen, Inc., 1106 Commonwealth Avenue, Boston, MA 02215 and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595)
 - ▶ Power of Attorney by Assignee

Dated: March 14, 2002

By:

Peter G. Carroll
Registration No. 32,837
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105
415/904-6500



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,145	10/09/2001	Kenneth J. Rothschild	AMBER-06819

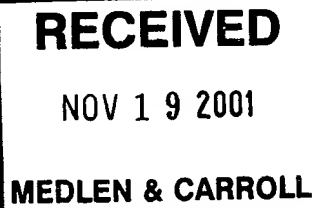
CONFIRMATION NO. 5594

FORMALITIES LETTER



OC000000007062980

MEDLEN & CARROLL, LLP
Suite 350
101 Howard Street
San Francisco, CA 94105



Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

~~2/14/02~~ 1/14/02 RUD

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

KATSON

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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